

GLOBAL INVESTOR | ISF FOW

www.globalinvestorgroup.com

INVESTMENT EXCELLENCE AWARDS 2021

Celebrating outstanding performance and contributions to the financial industry

WWW.GLOBALINVESTORGROUP.COM

COLLATERAL MANAGEMENT SOLUTION OF THE YEAR

SMARTSTREAM

SmartStream's TLM Collateral Management draws on 20+ years of development and industry research. This enables it to support firms ranging from large tier 1 sell-side firms to smaller buy-side firms in scope for phase 5 and 6 of the Uncleared Margin Rules (UMR).

With such a broad client base, it is a necessity for TLM Collateral Management to remain at the forefront of regulations and industry requirements. Supporting and partnering with many global and regional tier 1 banks has meant that TLM Collateral Management has grown with the industry. This is demonstrated through its best-practice workflows and feature-rich agreement repository, robust eligibility and concentration functionality, up and downstream connectivity through Public APIs and accessibility to key industry partners.

TLM Collateral Management features three exception-based workflows for

practitioners to process their margin calls, interest payments and substitution events. Offering a single workflow to manage both Variation and Initial Margin calls, TLM Collateral Management alleviates much of the manual processing burden felt by firms through the automatic sending of margin notices, electronic messaging to and from clients and collateral auto-booking.

The straight-through-processing (STP) functionality of TLM Collateral Management allows for the bulk processing of many margin calls while still adhering to eligibility, concentration rules and validations defined across the

system. The availability of 4 and 6-eye approvals throughout the workflows provide collateral managers additional confidence in bookings and mitigates the number of dispute cases. A complete audit of user actions within the system is maintained and easily accessible to maintain control and security over the collateral management operation.

With the need for a comprehensive collateral management product for firms of all sizes due to the UMR, it is critical that the application is both accessible and scalable. TLM Collateral Management meets the volume demanded by the industry's largest practitioners. ■